

**Management and Organizational Studies  
MOS 4310B 550 HUC**

Faculty of Arts and Social Science, Huron University College  
Winter 2023

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**1.0 COURSE INFORMATION**

Course Name, Number, Section: MOS 4310 B 550

Instructor(s): Dr S Ramani

Contact Information: [sramani3@uwo.ca](mailto:sramani3@uwo.ca); [sramani3@huron.uwo.ca](mailto:sramani3@huron.uwo.ca)

Office: A 2 B

Office Hours: Tuesday 1 pm.

Class Location: V207

Scheduled Class Times (including lab or tutorial hours): Monday 12:30 – 2:30pm, Wednesday 1:30 – 2:30 pm

Prerequisites: MOS 3310/2310 and enrollment in the BMOS program or Major in Finance or Special permission from Instructor and department chair.

Antirequisites: MOS 3311A/B.

**2.0 COURSE DESCRIPTION**

This course is designed to provide a broad overview of issues in financial management and corporate finance. You will learn how financial managers make investment, financing and other decisions and what kind of financial tools and methods they use to make decisions. Topics covered include time value of money, financial analysis, risk, valuation, capital structure, capital budgeting, working capital management, dividend policy and other selected issues.

**3.0 COURSE LEARNING OBJECTIVES** (see guidelines below)

- Identify financial issues affecting corporations and their managers
- Identify and select appropriate tools for analysis
- Learn basic principles governing the financial management of corporation
- Communicate the issues, implications, alternatives and recommendations of financial decisions to users of information

**4.0 DESCRIPTION OF CLASS METHODS**

(lecture, seminar, expectations for attendance and participation etc.)

In-Class room lectures and discussions.

**4.5 EXPERIENTIAL LEARNING**

(if applicable, note EL methods, objectives, outcomes, etc.)

**5.0 TEXTBOOKS AND OTHER REQUIRED RESOURCES**

**(Recommended not Required)** Brealey, R. Myers, C. Marcus, A.J. Manes, E.M. and Mitra D. Fundamentals of Corporate Finance, Seventh Canadian Edition, Toronto, McGraw-Hill, ISBN: 13: 978-125902496-2

**(No Connect needed)**

### **Ivey case Studies ( Required)**

As a part of learning, we will be using a few Ivey case studies. There are cases in total. You will have to purchase the case studies using the following link.

<https://www.iveypublishing.ca/s/ivey-coursepack/a1R5c00000E8q7TEAR>

### **Supplemental Materials (on-line and/or library)**

Periodicals: Globe and Mail, Wall Street Journal, Financial Times, Bloomberg Business Week, Economist, etc.

### **Finance Related Web Sites (for reference and research)**

#### Government

- Department of Finance Canada [www.fin.gc.ca](http://www.fin.gc.ca)
- Bank of Canada [www.bankofcanada.ca](http://www.bankofcanada.ca)

#### Finance

- Bloomberg <https://www.bnnbloomberg.ca/>
- Yahoo [finance.yahoo.com](http://finance.yahoo.com)
- FinViz [www.finviz.com](http://www.finviz.com)
- Morningstar [www.morningstar.ca](http://www.morningstar.ca)

#### Education

- CFA Institute [www.cfainstitute.org](http://www.cfainstitute.org)
- Canadian Securities institute [www.csi.ca](http://www.csi.ca)

### **Calculators**

I strongly recommend Texas Instruments BA II Plus. Please bring your calculators to class to be able to take part in class discussions.

**You can use online financial calculator or Excel to do the calculations**

<http://www.fncalculator.com/financialcalculator?type=tvmCalculator>

### **METHOD OF EVALUATION/ASSESSMENT**

Class participation	15%
Online quizzes	10%
Case Study Writeups	10%
Midterm	40%
M&A (Group Presentation)	25%
	100%

### **Examinations**

Requests to be excused from an examination for medical, sport or compassionate grounds must **ALWAYS** be accompanied by appropriate documentation – either with the request or as soon as possible after the fact. A Medical Excuse Documentation Form is available at the Academic Dean's office.

Students who fail to appear for an examination at the time set in the timetable will not be allowed to write the examination thus missed. Students should report this irregularity immediately to the Dean's office. They may, with the approval of the Chair of the Department concerned, petition the Dean for standing or permission to

write a special examination. Petitions will be entertained only when they are submitted on compassionate grounds with supporting documents. See the current Western Academic Calendar.

### **Mid-Term /Final Examinations**

Both the mid-terms and/or final examinations will consist short answer/multiple choice/true/false-type questions and cases/exercises/problems, which test your knowledge of the material that is discussed in class sessions and found in the PowerPoints, readings, and exercise/problem/case learning. The content will be similar to what is discussed in class. The final exam date will not be known until the final exam schedule is released by the Registrar.

Students will be permitted to bring writing materials and a non-programmable financial calculator to each of the examinations. No other items will be permitted at your desk in the examination room. However, a formula sheet will be provided. This includes dictionaries, cell phones, PDA's, CD players, iPod's, etc. These rules will be strictly enforced as it is my responsibility to do everything possible to prevent cheating on examinations.

### **Policy of Special Examinations**

- 1) Students with conflicts or students who are unable to write an examination based upon compassionate grounds (supported by appropriate documents), may apply in writing prior to the exam to the course coordinator to be excused.
- 2) Students involved with approved out-of-town university activities during the scheduled mid-term exam may apply to the course coordinator for special proctoring privileges to write the mid-term exam.
- 3) Students who have a *valid* excuse for missing the the mid-term examination will have to make arrangements to write exam on make up date.

### **Requirements and Criteria**

Performance in the course will be evaluated using a variety of methods that support the objectives identified above. A combination of exams, individual and/or group assignments / presentation, and exercises/assignments will be used to evaluate participants on a number of different levels.

The criteria for success, in no particular order, are:

- Comprehension of the material
- Demonstration of an ability to think cross-functionally
- A willingness to participate for the benefit of oneself and fellow participants
- Strong work ethic to “pull your weight” in group assignments

These criteria will be applied to written and verbal work throughout the term.

**Participants will be evaluated on the following activities, as listed in the table below:**

#### **Quizzes: 15%**

A few quizzes will be posted on OWL which will be worth 15%.

#### **Midterm: 35%**

This exam will be 2 or 3-hours in length and may include multiple-choice, true and false, short-answer, essay or computational questions and may include material from the assigned text readings, lectures (PowerPoint

presentations), exercises/problems, case studies, videos, small group-work and student presentations. A formula sheet will be allowed for students on the exam. Aides allowed: Single Function, non-programmable financial calculator.

**Participation 15%**

- Preparation for class case discussion, as demonstrated by;
- willingness to lead and actively participate class discussions in a professional manner
  - providing valuable insights and analysis
  - responding to “cold-calls”
  - Using blackboard / PowerPoint / Excel spreadsheets to present analyses and findings

**Analyst Report – Individual (Due December 12)**

A detailed report on a firm is to be made. More announcements in class and OWL.

**Presentation (20%)- Group presentation (4 in a team)**

Please listen to in class announcements about date changes and or topic changes.

Start Date	Week	Syllabus
09-Jan	1	Options Ch 25
16-Jan	2	<b>Case: Estimating Walmarts’s Cost of Capital</b> Review of WACC & Valuation (Ch13)
23-Jan	3	Ch 14: Corporate Governance  <b>Case: Unlocking Value at CSX: Responding to requests from Mantle Ridge</b>
30-Jan	4	Ch 16: Debt Policy <b>Case: Telus Capital Structure Management</b>
06-Feb	5	Ch 17: Leasing
13-Feb	6	Ch 18: Payout Policy <b>Case Study: Telus Corporation Dividend Policy</b>
20-Feb	7	<b>Reading Week</b>
27-Feb	8	Problems in Debt policy ,Valuation, Dividends ( Ch 16, 13,18)
06-Mar	9	<b>MIDTERM ( March 6)</b>
13-Mar	10	Ch 15: Venture Capital, IPOs, SEOs <b>Case: Rosetta Stone , The IPO</b>
20-Mar	11	Ch 23: mergers & Acquisition
27-Mar	12	<b>Case: Tesla: The SolarCity Acquisition</b>

Special Topics: ESG/ Sustainable Finance (May not Happen)  
Group Presentations

03-Apr 13

**FREQUENTLY ASKED QUESTIONS**

**Question**

How can I succeed in this course?

I missed the quiz because my computer didn't work, my internet connection was lost, I was preparing my Business 2257 project, I was out of town, I lost my phone, I forgot ...

I missed a quiz or exam because I was sick or there was a death in my family.

I have a lot of mid-terms or projects at once. Can I write the make-up exam?

When will the exam grades be posted?

Can I come and see my exam?

Can I use a different textbook?

There is so much material. How can I possibly remember everything?

This mark is going to prevent me from getting accepted at Ivey/graduate school/NASA.

I need a certain mark to get or maintain a sch  
I tried really hard but I still got a poor mark.  
This is the lowest mark I have ever received.

**Answer**

Do all the assigned readings, attend class, focus on understanding the principles in a way that lets you apply them to new fact situations.

A missed quiz will result in a mark of zero. You are responsible for calendaring the quizzes and having reliable internet access to write them. There are no "make up" assignments available.

See the section of the course outline on ILLNESS AND SPECIAL CIRCUMSTANCES

Make-up exams for conflicts are not available unless you meet the Exam Conflict or Multiple Exam Situation scenarios. See website for instructions.

Mid-term grades are posted on OWL when they become available. Final exam grades and final course grades are not posted on OWL.

You can review your exam during office hours or at any other mutually convenient time.

You can use a different textbook, but it is your responsibility to ensure that you are learning all of the assigned material. Be aware that different textbooks may be organized differently and may cover different material.

You do not need to remember everything in the book. You need to understand the fundamental principles and how to apply them.

Grades are given based on actual performance, as set out on the course outline. In order to be fair to all the students in the course, grade adjustments, extra assignments, and the reweighting of course components are not available.

**8.0 FASS APPENDIX**



**Appendix to Course Outlines: Academic Policies & Regulations Fall/Winter 2022-2023**

Huron will continue to follow the guidance of public health and government officials. It is anticipated that there will be no disruptions to in-person instruction. This is subject to change.

### **Student Code of Conduct**

Membership in the community of Huron University College and Western University implies acceptance by every student of the principle of respect for the rights, responsibilities, dignity and well-being of others and a readiness to support an environment conducive to the intellectual and personal growth of all who study, work and live within it. Upon registration, students assume the responsibilities that such registration entails. While in the physical or online classroom, students are expected to behave in a manner that supports the learning environment of others. Please review the Student Code of Conduct at:

<https://huronatwestern.ca/sites/default/files/Res%20Life/Student%20Code%20of%20Conduct%20-%20Revised%20September%202019.pdf>.

### **Prerequisite and Antirequisite Information**

Students are responsible for ensuring that they have successfully completed all course prerequisites and that they have not completed any course antirequisites. Unless you have either the requisites for this course or written special permission from your Dean to enroll in it, you may be removed from this course and it will be deleted from your record. This decision may not be appealed. You will receive no adjustment to your fees in the event that you are dropped from a course for failing to have the necessary prerequisites.

### **Attendance Regulations for Examinations**

A student is entitled to be examined in courses in which registration is maintained, subject to the following limitations:

- 1) A student may be debarred from writing the final examination for failure to maintain satisfactory academic standing throughout the year.
- 2) Any student who, in the opinion of the instructor, is absent too frequently from class or laboratory periods in any course will be reported to the Dean of the Faculty offering the course (after due warning has been given). On the recommendation of the Department concerned, and with the permission of the Dean of that Faculty, the student will be debarred from taking the regular examination in the course. The Dean of the Faculty offering the course will communicate that decision to the Dean of the Faculty of registration.

Review the policy on Attendance Regulations for Examinations here: [Academic Calendar - Western University \(uwo.ca\)](#)

### **Statement on Academic Offences**

Scholastic offences are taken seriously and students are directed to read the appropriate policy, specifically, the definition of what constitutes a Scholastic Offence, at the following website: [Academic Calendar -](#)

[Western University \(uwo.ca\)](http://www.uwo.ca) . The appeals process is also outlined in this policy as well as more generally at the following website: [https://www.uwo.ca/univsec/pdf/academic\\_policies/appeals/appealsundergrad.pdf](https://www.uwo.ca/univsec/pdf/academic_policies/appeals/appealsundergrad.pdf).

### **Turnitin.com**

All required papers may be subject to submission for textual similarity review to the commercial plagiarism detection software under license to the University for the detection of plagiarism. All papers submitted for such checking will be included as source documents in the reference database for the purpose of detecting plagiarism of papers subsequently submitted to the system. Use of the service is subject to the licensing agreement, currently between The University of Western Ontario and Turnitin.com (<http://www.turnitin.com>).

### **Statement on Use of Electronic Devices**

It is not appropriate to use electronic devices (such as, but not limited to, laptops, tablets, cell phones) in the classroom for non-classroom activities. Such activity is disruptive and distracting to other students and to the instructor, and can inhibit learning. Students are expected to respect the classroom environment and to refrain from inappropriate use of technology and other electronic devices in class.

### **Statement on Use of Personal Response Systems (“Clickers”)**

Personal Response Systems (“clickers”) may be used in some classes. If clickers are to be used in a class, it is the responsibility of the student to ensure that the device is activated and functional. Students must see their instructor if they have any concerns about whether the clicker is malfunctioning. Students must use only their own clicker. If clicker records are used to compute a portion of the course grade:

- the use of somebody else’s clicker in class constitutes a scholastic offence
- the possession of a clicker belonging to another student will be interpreted as an attempt to commit a scholastic offence.

### **Academic Consideration for Missed Work**

All students pursuing academic consideration, regardless of type, must contact their instructors no less than 24 hours following the end of the period of absence to clarify how they will be expected to fulfill the academic responsibilities missed during their absence. **Students are reminded that they should consider carefully the implications of postponing tests or midterm exams or delaying submission of work, and are encouraged to make appropriate decisions based on their specific circumstances.**

Students who have conditions for which academic accommodation is appropriate, such as disabilities or ongoing or chronic health conditions, should work with Accessible Education Services to determine appropriate forms of accommodation. Further details concerning policies and procedures may be found at:

<http://academicsupport.uwo.ca/>.

### **Policy on Academic Consideration for a Medical/ Non-Medical Absence**

- (a) **Consideration on Medical Grounds for assignments worth *less than 10%* of final grade:  
Consult Instructor Directly and Contact Academic Advising**

When seeking consideration on **medical grounds** for assignments worth *less than 10%* of the final course grade, the student should contact the instructor directly. The student need only share broad outlines of the medical situation. The instructor **may** require the student to submit documentation to the academic advisors, in which case she or he will advise the student and inform the academic advisors to expect documentation. If documentation is requested, the student will need to complete and submit the [Student Medical Certificate](#). The instructor may not collect medical documentation. The advisors will contact the instructor when the medical documentation is received, and will outline the severity and duration of the medical challenge as expressed on the Student Medical Certificate and in any other supporting documentation. The student will be informed that the instructor has been notified of the presence of medical documentation, and will be instructed to work as quickly as possible with the instructor on an agreement for accommodation.

**b) Medical Grounds for assignments worth 10% or more of final grade: Go Directly to Academic Advising**

University Senate policy, which can be found at [Academic Calendar - Western University \(uwo.ca\)](#) requires that all student requests for accommodation on medical grounds for assignments worth 10% or more of the final grade be made directly to the academic advising office of the home faculty (for Huron students, the “home faculty” is Huron), with supporting documentation in the form (minimally) of the Senate-approved Student Medical Certificate found at:

[https://www.uwo.ca/univsec/pdf/academic\\_policies/appeals/medicalform.pdf](https://www.uwo.ca/univsec/pdf/academic_policies/appeals/medicalform.pdf).

The documentation is submitted in confidence and will not be shown to instructors. The advisors will contact the instructor when the medical documentation is received, and will outline the severity and duration of the medical challenge as expressed on the Student Medical Certificate and in any other supporting documentation. The student will be informed that the instructor has been notified of the presence of medical documentation, and will be instructed to work as quickly as possible with the instructor on an agreement for accommodation. The instructor will not normally deny accommodation where appropriate medical documentation is in place and where the duration it describes aligns with the due date(s) of assignment(s). Before denying a request for accommodation on medical grounds, the instructor will consult with the Dean. The instructor’s decision is appealable to the Dean.

**c) Consideration on Non-Medical Grounds: Consult Huron Support Services/Academic Advising, or email [hurousss@uwo.ca](mailto:hurousss@uwo.ca).**

Students seeking academic consideration for a **non-medical** absence (e.g. varsity sports, religious, compassionate, or bereavement) will be required to provide appropriate documentation. All consideration requests must include a completed [Consideration Request Form](#). Late penalties may apply at the discretion of the instructor.

Please review the full policy on Academic Consideration for medical and non-medical absence at: [accommodation\\_medical.pdf \(uwo.ca\)](#). Consult [Huron Academic Advising](#) at [hurousss@uwo.ca](mailto:hurousss@uwo.ca) for any further questions or information.



### **Support Services**

For advice on course selections, degree requirements, and for assistance with requests for medical accommodation, students should email an Academic Advisor in Huron's Student Support Services at

[huronsss@uwo.ca](mailto:huronsss@uwo.ca). An outline of the range of services offered is found on the Huron website at:  
<https://huronatwestern.ca/student-life/student-services/>.

Department Chairs, Program Directors and Coordinators are also able to answer questions about individual programs. Contact information can be found on the Huron website at:

<https://huronatwestern.ca/contact/faculty-staff-directory/>.

If you think that you are too far behind to catch up or that your workload is not manageable, you should consult your Academic Advisor. If you are considering reducing your workload by dropping one or more courses, this must be done by the appropriate deadlines. Please refer to the Advising website,

<https://huronatwestern.ca/student-life/student-services/academic-advising/> or review the list of official Sessional Dates on the Academic Calendar, available here:

<http://www.westerncalendar.uwo.ca/SessionalDates.cfm>.

You should consult with the course instructor and the Academic Advisor who can help you consider alternatives to dropping one or more courses. Note that dropping a course may affect OSAP and/or Scholarship/Bursary eligibility.

Huron Student Support Services: <https://huronatwestern.ca/student-life/student-services/> Office of the Registrar: <https://registrar.uwo.ca/>

Student Quick Reference Guide: <https://huronatwestern.ca/student-life/student-services/#1> Academic Support & Engagement: <http://academicsupport.uwo.ca/>

Huron University College Student Council: <https://huronatwestern.ca/student-life/beyond-classroom/hucsc/>  
Western USC: <http://westernusc.ca/your-services/#studentservices>

### **Wellness and Health Supports at Huron and Western**

University students may encounter setbacks from time to time that can impact academic performance. Huron offers a variety of services that are here to support your success and wellbeing. All Huron staff and faculty have received training on responding to disclosures of Gender-Based Sexual Violence (GBSV); students should know that the Community Safety Office is a resource for survivors, providing support and, if desired, guidance while referring them to the further supports that they may require. Please visit

<https://huronatwestern.ca/student-life-campus/student-services/wellness-safety> for more information or contact staff directly:

Wellness Services: [huronwellness@huron.uwo.ca](mailto:huronwellness@huron.uwo.ca) Community Safety Office: [safety@huron.uwo.ca](mailto:safety@huron.uwo.ca)

Additional supports for Health and Wellness may be found and accessed at Western through,

<https://www.uwo.ca/health/>.

Western Calendar - Policy Pages -  
[Academic Calendar - Western University \(uwo.ca\)](#)